

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

**INFORMATION DISCLOSURE
STATEMENT**

Docket Number:
12818/10

Application Number
10/634,224

Filing Date
August 4, 2003

Examiner
NOT YET
KNOWN

Art Unit
NOT YET KNOWN

Invention Title
SYSTEM AND METHOD FOR PROVIDING A
BACKSTOP FACILITY IN SUPPORT OF THE
ISSUANCE OF EXTENDABLE ASSET-BACKED
COMMERCIAL PAPER

Inventors
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Date: November 6, 2003

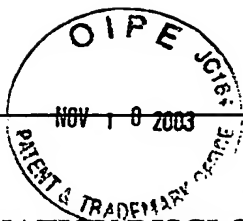
Signature: Howard Grossman
Howard Grossman (Reg. No. 48,673)

1. In accordance with the duty of disclosure under 37 C.F.R. § 1.56 and in conformance with the procedures of 35 U.S.C. §§ 1.97 and 1.98 and M.P.E.P. § 609, attorneys for Applicants hereby bring the following references to the attention of the Examiner. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom. The filing of this Information Disclosure Statement and the enclosed PTO Form No. 1449, shall not be construed as an admission that the information cited is prior art, or is considered to be material to patentability as defined in 37 C.F.R. § 1.56(b).
2. A copy of each patent, publication or other information listed on the modified PTO form 1449 is enclosed.
3. It is believed that no fees are due in connection with this Information Disclosure Statement. However, should any fees be due, the Commissioner is authorized to charge Deposit Account No. 11-0600 for such fees. A copy of this communication is enclosed for charging purposes.

Dated: November 6, 2003

By: Howard Grossman
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT
PTO-1449**

ATTY. DOCKET NO.
12818/10

SERIAL NO.
10/634,224

APPLICANT
John COSTA et al.

FILING DATE
August 4, 2003

GROUP
NOT YET KNOWN

U. S. PATENT DOCUMENTS

| EXAMINER INITIAL | PATENT NUMBER | PATENT DATE | NAME | CLASS | SUBCLASS | FILING DATE* |
|---------------------|------------------|----------------|------|-------|----------|-----------------|
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FOREIGN PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|---------------------|--------------------|------|---------|-------|----------|-------------|----|
| | | | | | | | |
| | | | | | | YES | NO |
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OTHER DOCUMENTS

| EXAMINER INITIAL | | AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC. |
|---------------------|--|--|
| | | Kaur, Manjeet et al., "Asset-Backed Medium-Term Notes Could Benefit U.S. ABCP Issuers, Investors" April 30, 2002, Standards & Poors. |

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|---|-----------------|
| EXAMINER | DATE CONSIDERED |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. | |